

PATENTS  
112024-0086



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re The Application of: )  
Hilarie Orman et al. )  
Serial No.: Not yet assigned )  
Filed: January 23, 2002 )  
For: SYSTEM AND METHOD FOR )  
TRANSPARENT TAKEOVER OF )  
TCP CONNECTIONS BETWEEN )  
SERVERS )

Examiner: Not yet assigned

Art Unit: Not yet assigned

Cesari and McKenna, LLP  
88 Black Falcon Avenue  
Boston, MA 02210  
January 23, 2002

“Express Mail” Mailing-Label Number: EL 705610127 US

Honorable Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**INFORMATION-DISCLOSURE STATEMENT**

In keeping with the duty of candor and good faith owed to the Patent and Trade-mark Office, Applicants wish to bring to the Examiner’s attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what they consider to be the relevance of any foreign-language reference. It is understood that the Office may find additionally relevant material in these or other references.

Please charge any additional fee occasioned by this paper to our Deposit Account  
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Respectfully submitted,

A handwritten signature in black ink, appearing to read 'W. Loginov', written over a horizontal line.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

### Complete if Known

Application Number	Not yet assigned
Filing Date	January 23, 2002
First Named Inventor	Hilarie Orman et al.
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	112024-0086

10/055865  
01/23/02

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1	5,642,511		Chow et al.	06/24/1997	
	2	5,784,566		Viavant et al.	07/21/1998	
	3	5,924,116		Aggarwal et al.	07/13/1999	
	4	5,961,593		Gabber et al.	10/05/1999	
	5	5,964,891		Caswell et al.	10/12/1999	
	6	5,999,734		Willis et al.	12/07/1999	
	7	6,014,667		Jenkins et al.	01/11/2000	
	8	6,018,619		Allard et al.	01/25/2000	
	9	6,112,228		Earl et al.	08/29/2000	
	10	6,151,688		wipfel et al.	11/21/2000	
	11	6,185,598	B1	Farber et al.	02/06/2001	
	12	6,185,625	B1	Tso et al.	02/06/2001	
	13	6,229,809		Murphy et al.	05/08/2001	
	14	6,330,605	B1	Christensen et al.	12/11/2001	

### FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

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<sup>2</sup> Unique citation designation number. <sup>3</sup> See attached Kinds of U.S. Patent Documents. <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>7</sup> Applicant is to place a check mark here if English language Translation is attached.

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		Group Art Unit	Not yet assigned		
		Examiner Name	Not yet assigned		
Sheet	2	of	2	Attorney Docket Number	112024-0086

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	United States Pending Patent Application for a PROXY CACHE CLUSTER, Serial No.: 09/195,982, Filed: November 19, 1998, by Christensen et al.	
	2	United States Pending Patent Application for a SYSTEM AND METHOD FOR PARTITIONING ADDRESS SPACE IN A PROXY CACHE SERVER CLUSTER, Serial No.: 09/877,918, Filed: June 7, 2001, by R.D. Major et al.	

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